



E D G E P O I N T®

## JOB OPPORTUNITY

### We're looking for a...

Compliance Officer

*Full-time position. We are actively recruiting to fill this position. Role is on-site in our Toronto office.*

### Who the heck are we?

EdgePoint Wealth Management is a Toronto-based investment firm with a company culture that inspires our employees to think and act like owners. We believe employees with an ownership stake in the success of a business are more motivated to meet and even exceed the expectations of their individual role. We also believe our culture is best defined as “knowing the right thing to do without having to be told.” To us, EdgePoint isn't just a job – it's our business. To learn more about us, please visit [www.edgepointwealth.com](http://www.edgepointwealth.com).

### What's the job about?

EdgePoint's Compliance team is looking for a new team member who is detail-oriented, has a passion for compliance and the interpersonal skills required to work closely with EdgePointers across departments. You'll be involved with the development, implementation and monitoring of EdgePoint's Compliance program.

More specifically, responsibilities include:

- Identify and incorporate regulatory changes into EdgePoint's policies and procedures
- Assist with the management of compliance activities and personal trading reviews using ACA ComplianceAlpha
- Manage key compliance deliverables including Prospectus renewal, regulatory questionnaires (i.e., RAQ), and completion of compliance input for RFPs
- Conduct internal audits, risk assessments and compliance testing for adherence with policies and internal controls
- Maintain up-to-date knowledge of changes in relevant legislation
- Collaborate with internal teams to ensure compliance considerations are incorporated into business strategy and operational practices
- Assist with annual compliance training

### What makes a good partner?

At EdgePoint, we try to maintain a company culture that inspires our employees to think and act like owners. We believe employees with a stake in the success of a business are more motivated to meet (and exceed) the expectations of their individual role.

Besides that, we're looking for internal partners that also bring:

- Minimum of 3-to-5 years of experience in a financial services compliance role
- Knowledge of Canadian compliance and regulatory laws, particularly those relating to retail and prospectus-exempt products
- Familiarity with the U.S. regulatory framework is preferred but not required
- Demonstrated ability to apply sound business judgement – balancing regulatory expectations with business goals
- Ability to interpret and apply legal concepts in a practical, real-world context
- Excellent written and verbal communication skills
- Detail-oriented, highly accountable and comfortable working independently
- Post-secondary degree



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## So what's in it for me?

Here are some of the benefits of working at EdgePoint:

- Compensation: annual bonus in addition to salary (range: \$80K to \$120K)
  - Company equity ownership program
  - Company-funded RRSP contributions
  - Comprehensive health and dental coverage
  - On-site gym (and regular company lunches to fuel those workout sessions)
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## Not just a boring resume

*In addition to your resume, describe what you feel you could contribute to EdgePoint's Compliance department to make it a stronger team.*

Send your application [wearegrowing@edgepointwealth.com](mailto:wearegrowing@edgepointwealth.com). We thank all applicants for their interest however only those candidates selected for an interview will be contacted.

EdgePoint is an equal-opportunity workplace. We thank all applicants for their interest; however, only those candidates selected for an interview will be contacted.

EdgePoint is an equal-opportunity workplace. We are committed to fair and accessible employment practices. Please contact us at [WeAreGrowing@edgepointwealth.com](mailto:WeAreGrowing@edgepointwealth.com) or 416.963.9353 if you require accommodation for an interview due to a disability or other circumstance.