

## **Accountant II**

### **Registration, Inspections & Examinations Branch**

#### **Permanent, Full Time**

#### **File #JR100380**

#### **Who We Are**

The **Ontario Securities Commission (OSC)** is the statutory body responsible for regulating Ontario's capital markets in accordance with the mandate established in the provincial Securities Act and the Commodity Futures Act. The mandate of the OSC is to provide protection to investors from unfair, improper or fraudulent practices, to foster fair, efficient and competitive capital markets and confidence in the capital markets, to foster capital formation, and to contribute to the stability of the financial system and the reduction of systemic risk. This mandate is performed through policy, operational, adjudication and enforcement work. The OSC also contributes to national and global securities regulation development.

We offer a diverse, fair, and flexible work environment and take pride in our challenging and rewarding work.

The **Registration, Inspections & Examinations (RIE) Branch** is currently looking for an Accountant II to join the team. RIE oversees the crypto-asset trading platforms, dealers, advisers and investment fund managers that the public relies on when investing in securities. Using a risk-based approach, RIE is responsible for the registration and compliance reviews of registered firms. RIE is also responsible for the oversight of market infrastructure entities, including marketplaces, self-regulatory organizations (SROs), and clearing agencies. RIE consists of integrated, multi-disciplinary teams including professional staff (accountants and lawyers), registration officers and support staff.

#### **Key Duties and Responsibilities**

This role requires you to:

- Conduct reviews of registered firms and regulated entities to assess compliance with Ontario securities laws that support investor protection and confidence in the capital markets.
- Prepare formal reports detailing non-compliance with securities laws and internal control weaknesses, work with registered firms and regulated entities to determine what corrective action is required and assess appropriateness of corrective actions.
- Engage with registered firms and stakeholders to find practical and balanced solutions to address non-compliance with securities laws, and make recommendations for further regulatory action in appropriate circumstances.
- Adapt regulatory principles to industry trends and emerging issues.

#### **What do you need to be successful in this role?**

We are looking for a candidate with an inquiring mind and a passion for the capital markets that has:

- Membership in good standing with CPA Ontario.
- 3-5 years of audit and assurance experience post CPA, preferably from working in the securities, capital markets, investment management, or financial services.
- Demonstrated ability to show creativity, achieve results and communicate information clearly and concisely.
- Demonstrated ability to establish collaborative working relationships and engage with internal and external stakeholders.
- Demonstrated ability to balance regulatory objectives with practical business considerations.

**Grow your career and make a difference working at the OSC.**

### **Application Instructions**

Please include the file number and position title in your application. In addition, your application must include the following:

- Cover letter – stating your interest in working for the OSC and Branch
- Resume
- Copies of your current graduate transcripts
- Reference letters are welcomed but not required

Please attach the above documents in one PDF document, with the naming convention “Last Name, First Name, File JR100380”.

**Please submit your application for this position through the OSC website at**

**[https://osc.wd3.myworkdayjobs.com/en-US/OSCCareers/details/Accountant-II\\_JR100380](https://osc.wd3.myworkdayjobs.com/en-US/OSCCareers/details/Accountant-II_JR100380)**

**OSC Employees:** please apply in Workday using the Browse Jobs feature within your Jobs Hub.

**Applications must be received by Friday, June 28, 2024, at 11:59 pm EST.**

***We thank all applicants for their interest in the Ontario Securities Commission. We will contact those selected for an interview.***

*The OSC is committed to diversity and providing an inclusive workplace. It is our priority to ensure employment opportunities are visible and barrier-free to all under-represented groups including but not limited to, Indigenous, Black and racialized groups, people with disabilities, women and people from the LGBTQ2S community, to achieve an employee demographic profile reflective of the demographic profile of Ontarians.*

*The OSC is a proud partner with the following organizations: [BlackNorth Initiative](https://blacknorth.ca/) < <https://blacknorth.ca/> > [Canadian Centre for Diversity and Inclusion](https://ccdi.ca/) < <https://ccdi.ca/> > , and [Pride at Work Canada](https://prideatwork.ca/) < <https://prideatwork.ca/> >.*

*If you require an accommodation during the recruitment process, please let us know by contacting our confidential inbox [HRRecruitment@osc.gov.on.ca](mailto:HRRecruitment@osc.gov.on.ca).*

*Visit [Accessibility at the OSC](https://www.osc.ca/en/accessibility-osc) < <https://www.osc.ca/en/accessibility-osc> > to review the OSC’s policies on accessibility and accommodation in the workplace.*