



# AIMA GLOBAL INVESTOR FORUM

2020

*Alternatives for a new future*



**Alan M. Schragger**  
**Portfolio Manager & Senior Partner**  
**Oak Hill Advisors, L.P**

Alan M. Schragger, Portfolio Manager & Senior Partner, shares portfolio management responsibilities for a number of OHA's portfolios. Mr. Schragger serves on various OHA committees including the partnership, investment strategy, valuation, compliance and several fund investment committees. Previously, Mr. Schragger had senior research responsibility for investments in private credit companies, software, industrials and gaming. Prior to joining OHA in early 2003, he was a Managing Director of USBancorp Libra, where he was responsible for originating, evaluating and structuring private equity, mezzanine and debt transactions and also held several positions at Primary Network, a data CLEC, including Chief Financial Officer and Interim Chief Executive Officer. Mr. Schragger previously worked in the Leveraged Finance and High Yield Capital Markets group at UBS Securities, LLC. He currently serves on the Board of Directors of New Heights Youth, Inc. and Expro Group Holdings International Limited. Mr. Schragger earned an M.B.A. from The Wharton School of the University of Pennsylvania, and a B.A. from the University of Michigan.



**Amanda Pullinger,**  
**Chief Executive Officer**  
**100 Women in Finance**

Ms. Pullinger is the Chief Executive Officer of 100 Women in Finance. She leads a staff team and provides direction to 500 volunteer practitioners globally. The organization, which now has over 17,000 members in 26 locations, is focused on empowering women in the finance industry and inspiring the next generation of pre-career young women.

Ms. Pullinger is a former principal of Aquamarine Capital Management, where she was responsible, over a period of seven years, for managing marketing, investor relations and back office administration for two private investment funds.

Ms. Pullinger is currently on the Boards of the HALO Trust (USA), the American Friends of The National Portrait Gallery (London) Foundation and serves as a Director on the Oxford University Alumni Board. She is President of the Brasenose Society and Vice Chair of the Women's Network Forum. Ms. Pullinger is Chair and Non Executive Director of the Board of FlyPlymouth, based in Plymouth, UK.

Previously, she served as Chairman of the Board of The HALO Trust ([www.halotrust.org](http://www.halotrust.org)) and served on the Board of Langone NYU Cancer Institute. She was on the founding Board of 100 Women in Finance, serving as its President for two years. She is a member of the British Academy of Film and Television Arts (BAFTA) and a Fellow of the Royal Society of Arts.

Ms. Pullinger graduated from Brasenose College, Oxford University in 1987 with an Honours Degree in Modern History. She earned an MBA from La Salle University, Philadelphia, in 1998, and received the Academic Award for MBA student of the year as well as the Beta Gamma Sigma designation.



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**Andrea Ash, CFA**  
**Investment Director**  
**RPMI RAILPEN**

Andrea is an Investment Director within the Private Markets team at RPMI Railpen. She is responsible for originating, structuring and executing private market investments made within RPMI Railpen's portfolios. Andrea joined in 2018 from Tesco Pension Investments where she was an Alternatives Fund Manager, responsible for investments into alternative credit, private equity and hedge funds. Andrea has invested in alternative funds since 2006 and has worked in financial services for over 15 years.

Andrea is a CFA Charterholder and has a BA (Hons) in Economics with French.



**Bradley D. Belt**  
**Vice Chairman**  
**Orchard Global Capital Group**

Bradley D. Belt is Vice Chairman of Orchard Global Capital Group, a \$6B global alternatives asset manager focused on private credit strategies. Orchard has offices in London, Houston, Toronto, Singapore, and Washington, DC and a global investor base of leading sovereign wealth funds, public and private pension plans, and foundations and endowments.

Belt previously was Chairman and founder of Palisades Capital Advisors, a boutique restructuring advisory firm he established in partnership with Reservoir Capital Management. He remains Chairman of Palisades Capital Management, a private investment firm.

Belt also served as the Senior Managing Director of the Milken Institute, a leading nonpartisan economic policy research organization. He was responsible for heading the Institute's expansion in Washington, DC, broadening and diversifying the Institute's donor base, expanding engagement with Congress, the Administration and federal regulatory agencies, and overseeing creation of the Institute's Center on Financial Markets.

Belt served in the Administration of President George W. Bush as the Executive Director and CEO of the PBGC, a federally chartered corporation that insures and regulates defined benefit plans sponsored by private sector employers. Belt was responsible for the Corporation's operations, including administering an insurance program covering 40 million Americans in nearly 30,000 defined benefit plans with \$2 trillion of risk exposure, managing a workforce of more than 2,000 federal and contract employees, providing benefit payments of more than \$4 billion annually to more than half a million retirees, and managing a \$60 billion investment portfolio.



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Under his leadership, PBGC restructured its operations, adopted a new liability-driven investment policy, implemented performance-based human capital management strategies, established new risk management and internal control systems, and negotiated the largest and most complex financial settlements in the Corporation's 30-year history. Belt also helped shape and communicate Administration policy on pension and retirement security issues, including the Pension Protection Act.

Belt has extensive executive management, operations, finance, and policy experience in the private, public, and non-profit sectors. His previous government service includes senior staff positions with the Securities Exchange Commission and United States Senate, including as counsel to the Committee on Banking, Housing and Urban Affairs. In the private sector, he has been an executive of a financial services and technology company and managing director of merchant banking and public affairs strategy firms. He also served as senior vice president of the Center for Strategic and International Studies, a leading international policy institute.

Mr. Belt was named by *SmartMoney* as one of its "Power 30" in finance in 2005 and by *Workforce* magazine as one of its "10 Most Forward-Thinking Leaders in Workforce Management." He serves or has served on the boards of private sector companies and professional and civic organizations, including UNICEF USA, the Protestant Episcopal Cathedral Foundation (oversight board for Washington National Cathedral and St. Albans, Cathedral, and Beauvoir schools), Zurich American Life Insurance Company (NY), RMIT, Inc., Wood Creek Capital Management and Shenkman Capital Management. Industry affiliations include serving on the North American Advisory Committee of the Standards Board for Alternative Investments and the Markets Advisory Council of the Council of Institutional Investors. Belt was also appointed by President George W. Bush to the Social Security Advisory Board.

An Eisenhower Fellow, Mr. Belt completed an executive management program at Harvard University, received his law degree from Georgetown University, and obtained his undergraduate degree in business administration from the University of Nebraska (with high honors). He is a member of the New York, District of Columbia, and U.S. Supreme Court bars.



**Brian Payne**  
**Investment Officer – Diversifying Strategies**  
**Teachers' Retirement System of the State of Illinois**

Brian Payne is the PM for TRS Illinois' Diversifying Strategies program where he manages \$5bn+ in capital across hedge fund, alternative risk premia and risk parity strategies. The portfolio is built around liquid alternatives, macro, credit and equity exposures where Brian does both manager selection and portfolio strategy. Prior to joining the asset allocation side Brian was a buy side trader and researcher for Potomac River Capital, a DC-based global macro hedge fund, focusing on G10 and EM markets.



**Chinwe Odimba-Chapman**  
**Partner**  
**Clifford Chance**

Chinwe is a partner in our Employment group. She advises on all areas of contentious and noncontentious employment law, in the UK on a cross border basis. Her clients include major banks, insurers, private equity houses, asset managers, investment funds and corporates. Chinwe sits on the steering committee of Clifford Chance's gender parity group Accelerate>>> and she is the co-founder, co-chair and partner champion of REACH, its network for advancing race equality and celebrating heritage. Through her activities with REACH, she successfully launched a reverse mentoring programme enabling members to connect with the most senior partners and business services staff within the firm.

Chinwe has been named as one of EMpower's 100 Ethnic Minority Executives for 2019, was shortlisted as a BAME Future Leader for the Investing in Ethnicity Awards, and included in Cranfield University's 50 Women to Watch. Chinwe is also Professional Ambassador for Aspiring Solicitors, an organisation pursuing increased diversity in the legal profession by assisting aspiring solicitors from underrepresented backgrounds. She also sits on the City of London Law Society's Employment Law Committee.



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**Danilo Simonelli**  
**Managing Director, External Managers**  
**Ontario Teachers' Pension Plan**

Danilo Simonelli is responsible for Ontario Teachers' Capital Markets External Managers program. His team manages the global allocation to liquid external managers across multiple strategies and asset classes. Danilo joined Ontario Teachers' in 2005, and has held several key roles within the Capital Markets team, including various positions within the Fixed Income, Currencies and Commodities group. Previously, he worked at JPMorgan in its London office as a member of the debt capital markets origination group. He is a member of the advisory board of the Masters in Financial Economics program at the University of Toronto and a former board member of Cordiant Capital.

Danilo holds a Laurea cum laude in Finance and Economics from Milan's Bocconi University and a Masters in Financial Economics from the University of Toronto. He is a CFA charterholder and has ICD.D certification from the Institute of Corporate Directors.



**Eduard van Gelderen**  
**Senior Vice President and Chief Investment Officer**  
**PSP Investments**

Eduard van Gelderen is Senior Vice President and Chief Investment Officer at the Public Sector Pension Investment Board (PSP Investments) where he oversees the organization's total portfolio and establishes the long-term investment portfolio strategy. He also heads the responsible investment, public policy, and government relations functions

Prior to joining PSP Investments, Eduard was Senior Managing Director at the Office of the Chief Investment Officer of the University of California. As a member of the executive team, his primary responsibilities included overseeing the University's retirement plan, heading up equity and real assets activities, and handling strategic partnerships in Europe. He also served as CEO of the Dutch financial service provider APG Asset Management and as Deputy CIO of ING Investment Management.

Eduard holds a master's degree in Quantitative Finance from Erasmus University Rotterdam and a post-graduate degree in Asset Liability Management from Maastricht University in Limburg. He is a certified Financial Risk Manager and a Chartered Financial Analyst and has served on several investment advisory boards.

Eduard is currently a PhD candidate at the International School of Management in Paris. He began his career as an investment banker in London and Amsterdam.



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**Heather Cooke, CFA (Panelist)**  
**CIO**  
**The Audra Group Family Office**

Heather Cooke, BComm, CFA is the Chief Investment Officer of The Audra Group Family Office, an affiliate of The Woodbridge Company Limited.

Prior to joining The Audra Group in 2019, Heather was Fiera Capital's Deputy Chief Investment Officer & Senior Vice President of Private Alternatives, Chaired their Global ESG Committee and expanded their Multi-Asset Class Solutions business as Senior Vice President, Investment Solutions. Over her 25+ investment management career, she has worked in senior leadership roles at leading global investment management and consulting firms such as Unigestion Asset Management, Mercer Global Investments, Rogerscasey and Northern Trust Global Investments primarily in OCIO Solutions. Heather earned her Bachelor of Commerce degree from the Smith School of Business at Queen's University and holds the CFA Charterholder designation. She also volunteers as a Senior Advisor to the CFA Society Toronto's Institutional Asset Management Committee and is a member of The Ticker Club.

**Issac Septon, CPA, MBA**  
**Chief Investment Officer**  
**The Observatory**

Mr. Septon is the Chief Investment Officer of the Observatory, a single family office he started 13 years ago for the founder of glaceau, makers of vitaminwater and smartwater who sold his business to Coca Cola in 2007.

Prior to setting up the Observatory in 2007, Mr. Septon was Head of Investments at Inveco International for six years, a family office that catered to a select group of ultra high net worth international families as well as a public company.

Prior to joining Inveco, Mr. Septon had held several management positions in internet companies during the late 1990's.

Mr. Septon holds an MBA from the Zicklin School of Business at Baruch College, where he graduated with honors. He is a CPA in the state of New York.



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**Jack Inglis**  
**Chief Executive Officer**  
**AIMA.**

He has been in the financial services industry and closely involved with hedge funds for over 30 years. He has held senior management positions at both Morgan Stanley, where he served for 16 years, and Barclays, where he was prior to joining AIMA. From 2007 to 2010 he was CEO of London based hedge fund manager, Ferox Capital Management. He served as a non-executive director of London Capital Group plc from 2007 to 2010 and currently sits on the board of the Chartered Alternative Investment Analyst Association (CAIA). He began his career in 1983 at UK stockbrokers James Capel (which was subsequently acquired by HSBC) and has extensive experience in origination, distribution, financing and trading across the fixed income and equity capital markets. He holds a Master of Arts in Economics from Cambridge University.



**Jo Taylor (Keynote speaker)**  
**President & CEO**  
**Ontario Teachers' Pension Plan**

Jo Taylor joined Ontario Teachers' in 2012 and was appointed President and CEO on January 1, 2020. Jo is a globally recognized investor, with more than 35 years of experience leading investment teams. Most recently, he was responsible for advancing Ontario Teachers' global effectiveness and expansion, working closely with senior colleagues to design and execute our international investment strategy. He has also been a member of the Committees for private companies and total fund allocations to review investment proposals, manage key relationships and optimize resource allocation.

Prior to joining Ontario Teachers', Jo spent more than 20 years with 3i Group plc where he held a number of senior Group Management roles. Jo graduated from London University with a BA (Honours) and later earned an MBA from Manchester Business School. He has served as the Chairman and non-executive director of many companies, including ISS, Helly Hansen, Busy Bees and Camelot (operator of the UK National Lottery).



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**Dr. John Claisse**  
**CEO**  
**Albourne Group**

John Claisse joined Albourne in July 1996, relocated from London to San Francisco in July 2003 and became Albourne Group CEO in August 2015. John is an equity partner and member of Albourne's Executive Committee and also chairs the firm's Corporate Planning Council, which comprises Albourne's function and region heads. John helped develop the firm's proprietary risk analytics and was formerly the Senior Analyst for quantitative equity strategies and multi-strategy hedge funds. John remains a Portfolio Analyst working with several public and corporate plans, large endowments and foundations. John holds a first class Mathematics Degree and a PhD from Sussex University.

Albourne is an independent advisory firm focused on hedge funds, private equity, private credit, real assets, redynamic beta. Founded in 1994, Albourne has over 280 clients with over \$550bn invested directly in alternative investments.



**John McCormick (Keynote speaker)**  
**Global Head of Hedge Fund Solutions**  
**Blackstone Alternative Asset Management (BAAM)**

John McCormick is the Global Head of Hedge Fund Solutions (BAAM). Mr. McCormick is involved in overseeing the day-to-day activities of the group, including investment management, client relationships, product development, marketing, operations and administration.

Before joining Blackstone in 2005, Mr. McCormick was an Associate Principal at McKinsey & Company, where he worked on senior level strategy issues for a wide variety of financial services industry clients. Mr. McCormick began his career as an attorney with Davis Polk & Wardwell and has also served at the U.S. Department of the Treasury.

Mr. McCormick received a BA from Vassar College and a JD from Yale Law School.





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**Karen Sihra**  
**Director of Diversity and Inclusion**  
**CPP Investments**

Karen Sihra joined CPPIB in 2018 as a Director to lead the enterprise-wide Inclusion and Diversity efforts. Prior to this appointment, she held several progressively senior business roles at a large bank with her final appointment leading its Women in Leadership and Business specific inclusion and diversity efforts. Karen has a PhD from the University of Toronto where she focused on the underlying barriers of and solutions to the inclusion of difference. She has taught university-level courses and seminars on related subjects including "Diversity Matters" and "Ethics, Social Responsibility and Sustainability". She has also published work in international books and journals on topics of democracy and social change. Her passion also manifests in her active involvement in community volunteering with a focus on enhancing opportunities for others.



**Kellie Sauls**  
**Director of Diversity, Equity & Inclusion**  
**Teacher Retirement System of Texas**

Kellie Sauls serves as the Director of Diversity, Equity & Inclusion for the Teacher Retirement System of Texas (TRS) where she is responsible for the direction and oversight of DE&I planning, strategy, program development and management across the organization. Ms. Sauls holds a B.A. in Sociology from the University of Texas at Austin and an M.S. in Counseling and Clinical Programs from Columbus State University. She has 20 years of higher education experience in career services, admissions and student affairs championing diversity and inclusion efforts in those areas. Prior to TRS, Ms. Sauls served as the Director, Full-Time MBA Student Affairs for the McCombs School of Business, Director of Admissions and Financial Aid for the Batten School of Leadership and Public Policy at the University of Virginia, and as the Director of Diversity Programs and Initiatives at the Darden School of Business at the University of Virginia. During her tenure at the University of Virginia, she completed the Darden School's Women Emerging Leaders Executive Education certificate program and participated in the university's Cornerstone Program designed to identify and develop high performing individuals who have potential to fill key leadership positions. Her career also includes being a teacher, community-based advocate, psychotherapist, and entrepreneur. Her passion is diversity and inclusion and no matter what title she has held, she has always dedicated energy and time to advancing that work. Additionally, she has been engaged in impactful volunteer work within her community including board service and training, co-founding a TEDx program in Charlottesville, VA, DE&I consultation services, as well as serving on several local and national diversity related advisory councils. Ms. Sauls most values her family and friendships developed across the globe as she has traveled to and/or lived in over 35 countries.



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**Kevin Neubauer**  
**Partner**  
**Seward & Kissel LLP**

Kevin Neubauer is a partner in the Investment Management Group at Seward & Kissel LLP. Kevin works with sponsors and managers of various private investment funds and other pooled investment vehicles, including private equity funds, hedge funds, funds of funds, commodity pools, and various “hybrid” funds. In particular, Kevin focuses on fund formation and structuring, the offering of interests by private investment funds, and the negotiation and documentation of such investments. Kevin has significant experience in organizing both domestic and offshore partnerships and other investment vehicles, including separately managed accounts and funds-of-one. Kevin also represents fund sponsors in connection with seed-capital investments, and funds of funds and other institutional investors in connection with their investments in private funds. Kevin regularly counsels investment advisers on regulatory and compliance matters, including the development of comprehensive compliance programs and in connection with regulatory examinations. He also has significant experience counseling investment advisers and private funds on Hart-Scott-Rodino compliance. Kevin previously worked in the Legal & Compliance group of Providence Equity Partners L.L.C/Benefit Street Partners L.L.C.

Mr. Neubauer received a B.A. from Fairfield University and a J.D. from Boston College Law School



**Magdalena Håkansson**  
**Head of ESG**  
**AP1**

Magdalena Håkansson is the Head of ESG at Första AP-fonden (AP1). The Fund is one of four buffer funds managing the Swedish national pensions reserve with Euro 35bn in AUM. At AP1, Magdalena oversees the implementation of AP1’s Sustainability strategy covering ESG integration across all asset classes and active ownership activities. She sits on the Council on Ethics of the Swedish AP Funds.

Prior to joining Första AP-fonden in 2019, Magdalena has spent two decades in the financial industry of which the last +10 years with a specific focus on sustainability. Most recently at MSCI ESG Research where she advised Nordic institutional clients about ESG integration and sustainable investments. Prior to taking on that role, she was Head of Client Relations for the Nordic region at GES International, which was subsequently acquired by Sustainalytics. Magdalena holds a Masters from Jönköping University.



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**Michelle Perry, CA (SA)**  
**Director, Operational Due Diligence - ESG & Sustainability Officer**  
**Aksia**

Michelle performs and manages the operational reviews of alternative investments. She conducts onsite visits with managers, liaises with service providers, reviews documentation and prepares analysis for Aksia's clients. Michelle is also Aksia's ESG and Sustainability Officer and is responsible for overseeing the ESG-related components of Aksia's operational due diligence.

Prior to joining Aksia, Michelle was the Head of Operational Due Diligence on the Alternative Investments team at Santander. Before joining Santander, Michelle held various roles within Deloitte's global offices, including Audit Manager with the financial services division (Sydney, Australia) and the transaction services division (London, UK).

Michelle graduated from the University of Cape Town with a BS in Finance with honors and has a Postgraduate Diploma in Accounting. Michelle is a Chartered Accountant.



**Niamh Ryan**

Niamh is a funds partner in the Irish office of Simmons & Simmons Ireland . She has extensive experience in the structuring and establishment of Irish regulated funds pursuing various strategies. She advises promoters and asset managers on all types of Irish domiciled UCITS and AIFs aimed at retail and sophisticated investors. Niamh was previously a partner in the funds group of one of the largest Irish domestic law firms and has worked in the funds industry since 2000. Niamh advises Irish service providers such as AIFMs, UCITS management companies, administrators and depositaries on their operations in Ireland and their regulatory obligations such as corporate governance, capital and anti-money laundering requirements.



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**Nitin Jain**  
**CEO - Edelweiss Wealth Management (EWM)**  
**Edelweiss**

Nitin brings in over 15 years of experience in the financial services industry and has been with Edelweiss since its early days. In the year 2010, he seeded the wealth management business, and today it stands as one of the largest in the country. Under his leadership, the EWM business has witnessed significant growth, and currently manages/advises over ~\$17 bn worth of assets.

Nitin has initiated and driven multiple businesses at Edelweiss and has an unerring ability to pick and support young leaders; he is equally a mentor and a guide laying emphasis on culture, leadership, risk management practices and high quality solutions appropriate to the needs of the diverse clientele. He has been instrumental in Edelweiss's transformation from a boutique investment bank into one of India's leading diversified financial services company. His endeavour has been to make EWM a truly world class, customer centric, financial services organization.

He is also actively involved with EdelGive Foundation - the strategic philanthropic arm of the Edelweiss Group and is a part of their investment committee.

Nitin has done his B.Tech. from Indian Institute of Technology, Kharagpur, with Post Graduation in Management from Indian Institute of Management, Calcutta. Nitin is married and has two children.



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**Panayiotis Lambropoulos**  
**Portfolio Manager – Hedge Funds**  
**Employees Retirement System of Texas**

Panayiotis Lambropoulos is a Portfolio Manager of Hedge Funds at the Employees Retirement System of Texas – a \$29 billion retirement plan – located in Austin, Texas. His responsibilities include sourcing, analyzing and evaluating potential hedge fund managers, process and performance assessment, interviewing various fund employees and third-party service providers, and maintaining due diligence efforts. His focus is on the Trust's Absolute Return Portfolio as well as Opportunistic Credit allocation. Lastly, he is responsible for the Trust's Emerging Hedge Fund Manager program – ERS Launchpad - which he proposed and spearheaded.

Panayiotis started in the alternative investment industry as a Research Analyst in Grosvenor Capital Management in Chicago. He later joined MCP Alternative Asset Management, a \$6 billion Tokyo-headquartered Investment Advisor (Fund of Funds) in Chicago. He was responsible for sourcing, analyzing and monitoring hedge fund investments, and contributing to portfolio allocation decisions. He worked alongside institutional clients, all of which were top investment decision makers for some of Japan's best and largest blue chip financial institutions.

Panayiotis holds a B.S in Business Administration with a concentration in Finance and Marketing from Boston College, and an M.B.A in General Management from Northwestern University's Kellogg School of Management. Panayiotis is a CFA Charterholder and has earned his Chartered Alternative Investment Analyst (CAIA) designation as well as his Financial Risk Manager (FRM) certification.



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## **Rizwan Jamal**

Global Head of New Investments  
Affiliated Managers Group (AMG)

Riz Jamal oversees AMG's investments in new Affiliates, including the identification of prospective Affiliates worldwide and the structuring and execution of the Company's investments in its partner firms. Prior to joining AMG in 2004, Mr. Jamal worked in the investment banking groups of Goldman Sachs & Co. and Salomon Smith Barney. Mr. Jamal received a B.S. from Boston College and a J.D. from Harvard Law School.



## **Robert E. Furdak, CFA** **Chief Investment Officer for ESG, Man Group**

Robert Furdak is Chief Investment Officer for Environmental, Social and Corporate Governance ('ESG') of Man Group. He is responsible for overseeing all aspects of responsible investing across Man Group's five investment engines. Rob serves as the chairman of the Man Group Responsible Investment Committee and is a member of the Man Group Executive Committee. He also serves on the United Nations-supported Principles for Responsible Investment Macroeconomic Risk Advisory Committee, the CFA Institute's Global Industry Standards Steering Committee and is on the Advisory Board of the Journal of Impact and ESG Investing.

Rob was previously the Co-Chief Investment Officer at Man Numeric and Chairman of Man Numeric's Investment Committee. In that position, Rob led the ESG initiatives and oversaw all aspects of the investment process. Rob joined Man Numeric in 1997 as Director of International Strategies and designed and launched Numeric's first non-US strategies. Before joining Man Numeric, Rob was a Principal in the Active International Group at State Street Global Advisors. During his eight years there, Rob performed global quantitative research and was the principal architect of State Street's active emerging markets investment process. Previously, Rob worked at Harvard Management Company.

Rob holds a Bachelor's Degree in Finance from the University of Michigan and an MBA in Finance from the University of Chicago. He is also a CFA ® charterholder



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**Roy Kuo**  
**Team Head - Alternative Strategies**  
**Church Commissioners for England**

Roy is the Team Head of Alternative Strategies at the Church Commissioners, which manages the Church of England's endowment. He is responsible for managing and growing the endowment's allocations in the alternatives sector. Roy was previously the Head of Research at Dexion Capital, where he was responsible for the firm's fund of funds mandates and for establishing investment partnerships with major investment managers in the credit, hedge fund, infrastructure and real estate sectors. Prior to this, he was the Alternatives Fund Manager at boutique fund of funds company Bramdean Asset Management. Roy holds a MBA from Said Business School (Oxford) and graduated from the University of Texas at Austin.



**Sriram Jaganmohan, ACA, CFA, FRM**  
**Chief Investment Officer**  
**Miras Investments**

Sriram is the CIO of one of the most sophisticated family offices in the Middle East leading their 20 member investment team. He has 15 years of experience managing institutional size capital across both alternatives and traditional assets. He joined Miras in 2010 and prior to this he was working for a long short hedge fund based in the United States for 5 years. Sriram holds an MBA from London Business School and has completed the General Management Program from Harvard Business School.



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**Stephen M. Meli**  
**Partner**  
**Foley & Lardner LLP**

Stephen M. Meli is a partner and business lawyer with Foley & Lardner LLP. Steve is based in the firm's Boston office where he is a member of the Transactions Practice and focuses on fund formation, emerging and spin-out fund sponsors and institutional investor representation.

### **Fund Formation Experience**

Steve focuses his practice on lower and middle market buyout, venture capital, growth equity, credit and similar private funds, including funds-of-funds and secondary funds. With an emphasis on commercial sense and practicality, Steve advises sponsors on every aspect of their funds business, including the structuring, marketing, operating and compliance of their private funds. Steve also advises on fund re-organizations and restructurings.

### **Emerging/Spin-out Fund Sponsor Experience**

Steve has a special focus on emerging, spin-out, "first time" and fund-less sponsors establishing their initial pooled investment vehicles. This focus includes advising on separation matters, placement agent arrangements, commercial terms and conditions, internal governance/employee and management company arrangements, SEC registration and compliance, carried interest structuring and co-investment arrangements.

### **Institutional Investor Representation**

Steve works with endowments, charities, sovereign and private pension funds, insurance companies, funds of-funds and secondary funds on all of their alternative investment matters, including the negotiation and structuring of primary investments and separate account arrangements, secondary transactions and portfolio company co-investments. Steve's secondary transaction experience includes advising both U.S. and non-U.S. sellers and buyers in the structuring and negotiation of both individual and bulk sales of private fund interests.

### **Institutional Investor Representation**

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Prior to joining Foley, Steve was a partner in the Private Investment Funds Group of Proskauer Rose LLP.

### **Education**

Steve earned his J.D. from Suffolk University Law School (magna cum laude, 2008) where he served as editor-in-chief of the Suffolk Transnational Law Review, and a B.S. from the University of New Hampshire (cum laude, 2004)





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**Tom Sabourin**  
**Chief Executive Officer**  
**Polar Asset Management Partners**

Tom became President and CEO of Polar Asset Management Partners in 2006, after joining the firm as Chief Financial Officer in 1994. From 1996 to 2005, Tom also acted as a Vice-President of Polar Equity Partners, an affiliated private equity manager, where he assumed a leadership role in many of the firm's investments.

Tom began his career with Ernst & Young in 1986 after earning a B.Eng from the University of Western Ontario. He gained his Chartered Accountant designation in 1988.

Tom leads Polar's charitable efforts through The Polar Foundation, which has contributed \$3 million to "Help Communities Thrive" since its inception.



**William J. Kelly**  
**CEO**  
**CAIA Association**

William (Bill) J. Kelly is the CEO of the CAIA Association. Bill has been a frequent industry speaker, writer, and commentator on alternative investment topics around the world since taking the leadership role at the CAIA Association in January, 2014.

Previously, Bill was the CEO of Boston Partners and one of seven founding partners of the predecessor firm, Boston Partners Asset Management which, prior to a majority interest being sold to Robeco Group in Rotterdam in 2002, was an employee-owned firm. Bill's career in the institutional asset management space spans over 30 years where he gained extensive managerial experience through successive CFO, COO and CEO roles.

In addition to his current role, Bill is a tireless advocate for shareholder protection and investor education and is currently the Chairman and lead independent director for the Boston Partners Trust Company. He has previously served as an independent director and audit committee chair for '40 Act Mutual Funds and other financial services firms. He is also currently an Advisory Board Member of the Certified Investment Fund Director Institute which strives to bring the highest levels of professionalism and governance to independent fund directors around the world. A member of the board of the CAIA Association, Bill also represents CAIA in similar capacities via their global partnerships with other associations and global regulators.

Bill began his career as an accountant with PwC and is a designated Audit Committee Financial Expert in accordance with SEC rules.

Follow Bill Kelly on Twitter @CAIA\_BillKelly



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**William Ma, CFA, CAIA**  
**Chief Investment Officer**  
**Noah Holdings Limited**

Mr. William Ma, CFA, CAIA, with 20 years of industry experience, is the Chief Investment Officer of Noah Holdings (NYSE:NOAH) and Gopher Asset Management (a wholly owned subsidiary of Noah), a multi-asset multi-strategy asset manager with USD25 billion AUM and 220 professionals. As CIO of Noah and Gopher, he is responsible for overseeing all investment management and products due diligence of combined USD80 billion of assets. Before joining Noah in 2015, Mr. Ma was CIO of Gottex Penjing Asset Management and co-founder of Penjing Asset Management, managing the industry's first Asian FoHF since 2005. With over 15 years of track record, Mr. Ma won over 15 industry awards including Golden Bull Award - Best Domestic Fund of Hedge Funds in China in 2018 and other awards from InvestHedge, HFMWeek and AsianInvestor.

Mr. Ma has been the Foreign Expert QDLP Review Committee Member for the Shanghai Municipal Government FSD since 2012, the APAC Committee Board Member of SBAI (Standard Board of Alternative Investment) since 2019, and the judge panel of EurekaHedge Asian Hedge Fund Awards since 2016. Mr. Ma received his BEng (EEng) from the University of Hong Kong and his MBA in Finance from the University of San Francisco.

