

			2/25	3/25	4/25	5/25	6/25	7/25	8/25	9/25	10/25	11/25	12/25
<b>Upcoming Implementation ...</b>	<b>start</b>	<b>end</b>											
<b>Final Rules</b>	<b>09/20/23</b>	<b>07/10/27</b>											
CFTC Rule 4.7 Portfolio Requirements ...	09/12	03/26	<< CFTC Rule 4.7 Portfol										
OFR Category 2: non-centrally cleared...	05/06	04/01	<< OFR Category 2: non-ce										
Dealer definition	02/06	04/29	<< Dealer definition										
Securitization conflicts of interest	11/27	06/09	<< Securitization conflicts of interest										
Form PF joint data	02/08	06/12	<< Form PF joint data										
Reg NMS: tick size, access fee and ro...	09/18	11/03	<< Reg NMS: tick size, access fee and round lot definition changes										
OEF LRM (Large Groups): Forms N-PO...	08/29	11/17	<< OEF LRM (Large Groups): Forms N-PORT and N-CEN and liquidity guidance (groups >1\$B)										
Names rule: Larger entities	09/20	12/11	<< Names rule: Larger entities										
IDB treasury clearing: Optional cleari...	03/31	12/31	IDB treasury clearing: Optional clearing period										
FinCEN minimum AML Program requir...	09/04	01/01	<< FinCEN minimum AML Program requirements for RIAs and ERAS >>										
NAIC reliance on credit ratings	08/01	01/01	<< NAIC reliance on credit ratings >>										
Reporting of securities loans	10/13	01/02	<< Reporting of securities loans >>										
Regulation S-P amendments: Larger ...	05/16	02/02	<< Regulation S-P amendments: Larger entities >>										
Reg NMS: odd-lot information	09/18	05/01	<< Reg NMS: odd-lot information >>										
OEF LRM (Small Groups): Forms N-PO...	09/27	06/16	<< OEF LRM (Small Groups): Forms N-PORT and N-CEN and liquidity guidance (groups <1\$B) >>										
Names rule: Smaller entities	09/20	06/11	<< Names rule: Smaller entities >>										
Repo clearing: Optional clearing period	03/31	06/30	Repo clearing: Optional clearing period >>										
Regulation S-P amendments: Smaller ...	05/16	08/02	<< Regulation S-P amendments: Smaller entities >>										
NAIC Holistic framework	08/15	08/15	<< NAIC Holistic framework >>										
<b>Pending Rules with Closed Consulta...</b>	<b>09/03/24</b>	<b>12/02/26</b>											
FinCEN AML/CFT program requiremen...	03/25	01/01	FinCEN AML/CFT program requirement changes for all in scope financial institutions >>										
FinCEN SEC AML customer identificati...	03/25	01/01	FinCEN SEC AML customer identification programs >>										
Cyber for RIAs/funds	09/24	03/25	Cyber for RIAs/funds >>										

			2/25	3/25	4/25	5/25	6/25	7/25	8/25	9/25	10/25	11/25	12/25
<b>Upcoming Implementation ...</b>	<b>start</b>	<b>end</b>											
<b>Final Rules</b>	<b>09/20/23</b>	<b>07/10/27</b>											
ESMA Guidelines on existing funds' n...	05/14	05/21	<< ESMA Guidelines on existing funds' names using										
EMIR review regulation	12/02	06/25	<< EMIR review regulation										
EU Anti-Money Laundering Authority ...	06/19	07/01	<< EU Anti-Money Laundering Authority Regulation ("AMLR")										
MiFID II review: Transposition period	03/08	09/28	<< MiFID II review: Transposition period										
ESMA: relief from MiFID II RTS 28 repo...	02/13	09/29	<< ESMA: relief from MiFID II RTS 28 reporting requirements										
FCA changes to transparency regime ...	11/05	12/01	<< FCA changes to transparency regime for bonds and derivatives										
FCA sustainability disclosure require...	11/28	12/02	<< FCA sustainability disclosure requirements: Largest firms										
UK MiFIR non-equity consolidated tape	04/04	01/01	<< UK MiFIR non-equity consolidated tape >>										
EU MiFIR non-equity consolidated tape	04/04	01/01	<< EU MiFIR non-equity consolidated tape >>										
AIFMD II/UCITS VI: Transposition period	03/26	04/16	<< AIFMD II/UCITS VI: Transposition period >>										
Listing Act Directive: Transposition pe...	12/01	06/06	<< Listing Act Directive: Transposition period >>										
EMIR review omnibus directive	12/02	06/25	<< EMIR review omnibus directive >>										
CBI CP152 MiFID own funds for existi...	11/27	06/26	<< CBI CP152 MiFID own funds for existing AIFMs/UCITS ManCos >>										
HMT: Short Selling Regulations 2025	01/13	06/30	<< HMT: Short Selling Regulations 2025 >>										
CS3D: Transposition period	07/05	07/26	<< CS3D: Transposition period >>										
UK Overseas Funds Regime Temporar...	10/01	12/01	<< UK Overseas Funds Regime Temporary Marketing Permissions Regime >>										
FCA sustainability disclosure require...	11/28	12/02	<< FCA sustainability disclosure requirements: All except largest firms >>										
EU Anti-Money Laundering Regulation...	06/19	07/10	<< EU Anti-Money Laundering Regulation ("AMLR") >>										
EU Anti-Money Laundering Directive 6...	06/19	07/10	<< EU Anti-Money Laundering Directive 6 ("AMLD6"): Transposition Period >>										
<b>Pending Rules with Closed Consulta...</b>	<b>09/03/24</b>	<b>12/02/26</b>											
EBA/ESMA IFPR call for Advice	09/03	05/30	<< EBA/ESMA IFPR call for Advice										
DFSA CP 161 Enhancing Proportionali...	01/10	03/10	<< DFSA CP 161										
ESMA RTS on SFDR PAI and financial p...	02/03	01/20	ESMA RTS on SFDR PAI and financial product disclosures >>										
HMT improving the effectiveness of t...	02/28	03/31	HMT improving										
Level 2/3 for MiFID2 Arts. 4, 7, 13, 14,...	03/29	03/29											
FCA/BoE diversity and inclusion requi...	03/31	03/31	FCA/BoE diversity and inclusion requirements >>										
FCA CP24/8 Extending SDR (labelling,...	04/07	04/07											
MIFIR Article 26 OTC derivatives identi...	04/24	09/01	MIFIR Article 26 OTC derivatives identifier >>										
FCA CP24/8 Extending SDR (periodic ...	04/07	12/02	FCA CP24/8 Extending SDR (periodic product disclosures and entity-level disclosures for firms w/ over £50B AUM)										
FCA CP24/8 Extending SDR (entity-le...	04/07	12/02	FCA CP24/8 Extending SDR (entity-level disclosures for firms w/ AUM £5B -£50B) >>										
Level 2/3 for AIFMD Arts. 16(2f)-(2h) ...	04/16	04/16											
ESMA guidelines on liquidity manage...	04/16	04/16	ESMA guidelines on liquidity management tools under AIFMD and UCITS Directive >>										
ESMA RTS on liquidity management t...	04/16	04/16	ESMA RTS on liquidity management tools under AIFMD and UCITS Directive >>										
Unshell Directive: Implementation per...	06/01	06/21	Unshell Directive: Implementation period >>										



			2/25	3/25	4/25	5/25	6/25	7/25	8/25	9/25	10/25	11/25	12/25
<b>Upcoming Implementation ...</b>	<b>start</b>	<b>end</b>											
<b>Final Rules</b>	<b>09/20/23</b>	<b>07/10/27</b>											
SFC guidelines on market soundings	10/31	05/02	<< SFC guidelines on market soundings										
<b>Pending Rules with Closed Consulta...</b>	<b>09/03/24</b>	<b>12/02/26</b>											
SEBI proposal to increase the size crit...	01/31	03/31	<< SEBI proposal to increa										